A Functional Perspective on SSA Optimization Algorithms

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jointly with

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THE PLAN

- ① Motivation an overview of the status quo
- ② Translating the static single assignment (SSA) form into the administrative normal form (ANF) of lambda calculus
- ③ Applying the technique to the sparse conditional constant propagation (SCC) algorithm

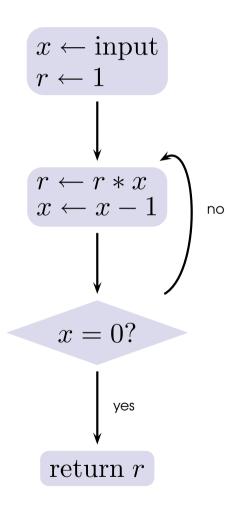
MOTIVATION

- \rightarrow We need a verified optimizing compiler
- → Optimization algorithms are:
 - ✗ complex
 - 🗴 inadequately specified
- → Fix the data structures before fixing the algorithms!

Contributions:

- ① Formalize the correspondance between the *Static Single Assignment* form and a direct-style lambda calculus
- ② Redefine Wegman-Zadeck's Sparse Conditional Constant Propagation using our intermediate representation
- ③ Formally establish soundness of the new algorithm

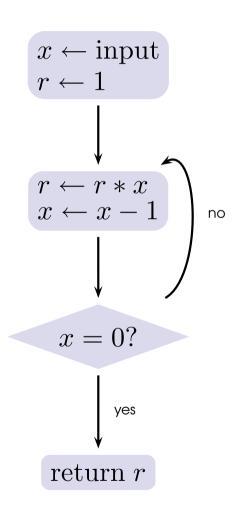
CONTROL FLOW GRAPHS



Summary:

- Low-level representation
- Informal semantics
- Emphasis on *control flow*

CONTROL FLOW GRAPHS



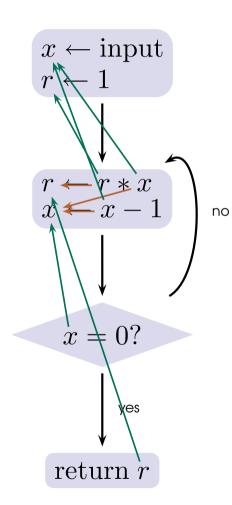
Summary:

- Low-level representation
- Informal semantics
- Emphasis on *control flow*

However, many (most?) optimizations require *data flow* information:

- Expensive to compute
- Reusable

CONTROL FLOW GRAPHS & DATAFLOW INFORMATION



Summary:

- Def-Use chains: defs refer to uses and uses refer to defs
- Analyses need a *dense* mapping of variables to abstract values
- Expensive to represent and update!

$$x_0 \leftarrow \text{input}$$

 $r_0 \leftarrow 1$

$$\begin{array}{c} & \\ x_1 \leftarrow \phi(x_0, x_2) \\ r_1 \leftarrow \phi(r_0, r_2) \\ r_2 \leftarrow r_1 * x_1 \\ x_2 \leftarrow x_1 - 1 \end{array}$$

 $x_2 = 0?$

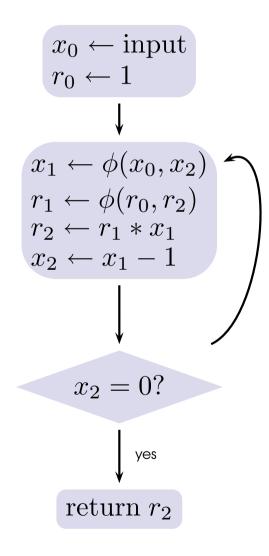
return r_2

yes

Overview:

- Each variable has exactly one defining occurence
- Values from different control flows merged via ϕ functions
 - functions placed by computing the *dominator tree* of the procedure

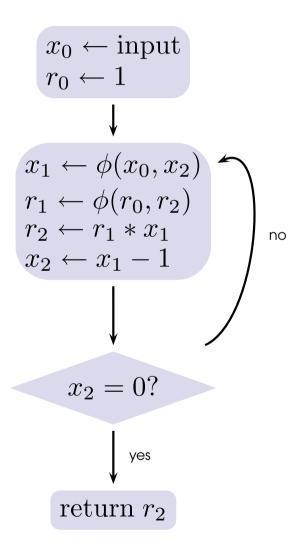
no



Evaluation I:

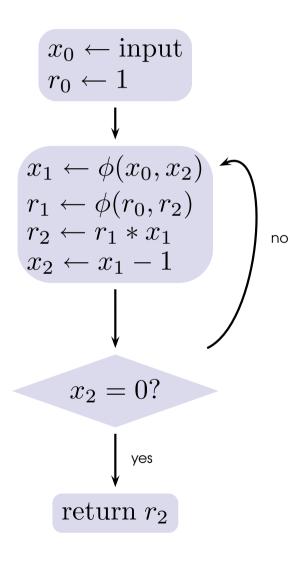
- \checkmark Sparse representation
- Split variables improve
 the accuracy of analyses
- Significantly reduces complexity of optimizations, such as:
 - constant propagation
 - partial redundancy elimination
 - value numbering

• . . .



Evaluation II:

- 🗴 Still no scoping
- Still only informal semantics
- X Difficult to add a type system:



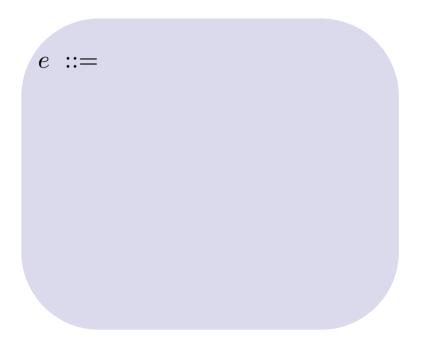
Evaluation II:

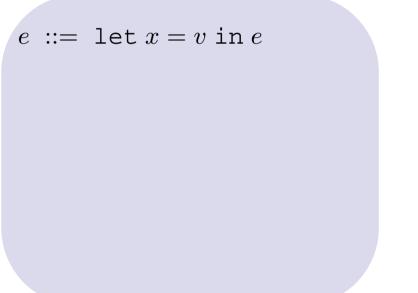
- 🗴 Still no scoping
- Still only informal semantics
- X Difficult to add a type system:
 - $\bullet~\phi$ parameters out of context
 - Analysis requires a large environment
- However, there are improved versions, such as Gated SSA

In every FORTRAN program hides a functional program trying to get out

Restricted direct-style lambda calculus:

- → no nested let's
- \rightarrow no nested function applications
- \rightarrow no anonymous lambda expressions





Syntax:

• сору

$$e ::= \operatorname{let} x = v \operatorname{in} e \mid$$
$$\operatorname{let} x = v(\bar{v}) \operatorname{in} e$$

- сору
- calls

$$e ::= \operatorname{let} x = v \operatorname{in} e \mid$$
$$\operatorname{let} x = v(\overline{v}) \operatorname{in} e \mid$$
$$v$$

- сору
- calls
- returns

$$\begin{array}{rrrr} e & ::= & \operatorname{let} x = v \, \operatorname{in} e & | \\ & & \operatorname{let} x = v(\bar{v}) \, \operatorname{in} e & | \\ & & v & | \\ & & v(\bar{v}) \end{array}$$

- сору
- calls
- returns
- jumps

- сору
- calls
- returns
- jumps
- branches

- сору
- calls
- returns
- jumps
- branches
- code labels

Evaluation:

- ☑ Natural scoping
- Clean operational semantics
- \checkmark Easily type-checked
- Yet still close
 to assembly language!

So where do I get some?

So where do I get some?

Translate an SSA program!

- → Semi-formal correspondence between programs in SSA form and CPS (Kelsey, 1995)
- → Semi-formal translation from SSA form into lambda calculus (Appel, 1998)
- → We present a formal translation from SSA form to ANF (based on dominator trees)

STRUCTURED SSA FORM

```
proc fac(x) {
      goto L_1
L_1: \{
           r_0 \leftarrow \phi(\text{start:l, } L_1:r_1)
           x_0 \leftarrow \phi(\texttt{start:x, } L_1:x_1)
           if x_0 then goto L_1 else ret r_0
    L_2: r_1 \leftarrow mul(r_0, x_0)
           x_1 \leftarrow sub(x_0, 1)
           goto L_1
}
. . .
```

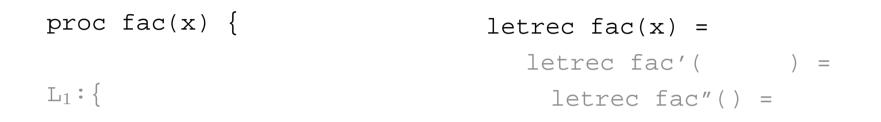
proc fac(x) {

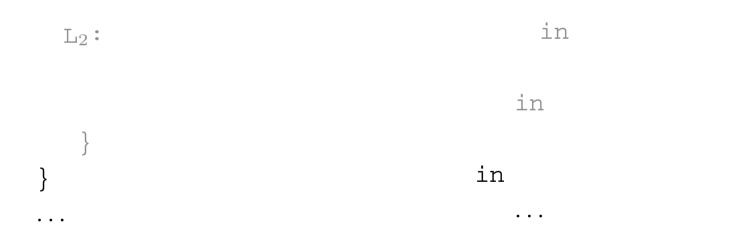
letrec fac(x) =



}

. . .





```
proc fac(x) {
                                letrec fac(x) =
                                   letrec fac'( ) =
L_1:{
                                     letrec fac"() =
                                       let r_1 = mul(r_0, x_0)
                                       let x_1 = sub(x_0, 1)
     if x_0 else ret r_0
                                       in
                                   in
  L_2:r_1 \leftarrow mul(r_0, x_0)
                                    if x_0 else r_0
     x_1 \leftarrow sub(x_0, 1)
                                   in
                                in
                                   . . .
```

```
proc fac(x) {
                                 letrec fac(x) =
 goto L_1
                                    letrec fac'( ) =
L<sub>1</sub>:{
                                      letrec fac"() =
                                        let r_1 = mul(r_0, x_0)
                                        let x_1 = sub(x_0, 1)
     if x_0 goto L_1 else ret r_0 in fac'( )
                                    in
  L_2:r_1 \leftarrow mul(r_0, x_0)
                                    if x_0 fac"() else r_0
     x_1 \leftarrow sub(x_0, 1)
                                    in
     qoto L_1
                                     fac'()
                                 in
                                    . . .
```

```
proc fac(x) {
    qoto L_1
L_1: \{
      r_0 \leftarrow \phi(
      \mathbf{x}_0 \leftarrow \phi(
       if x_0 goto L_1 else ret r_0 in fac'( )
  L_2:r_1 \leftarrow mul(r_0, x_0)
       x_1 \leftarrow sub(x_0, 1)
      qoto L_1
                                           in
                                                . . .
```

```
letrec fac(x) =
  letrec fac'(x_0, r_0) =
    letrec fac"() =
     let r_1 = mul(r_0, x_0)
   let x_1 = sub(x_0, 1)
  in
  if x_0 fac"() else r_0
  in
    fac'()
```

```
proc fac(x) {
                                             letrec fac(x) =
    qoto L_1
                                                 letrec fac'(x_0, r_0) =
L_1: \{
                                                   letrec fac"() =
       \mathbf{r}_0 \leftarrow \phi(\text{start:}1, \mathbf{L}_1:\mathbf{r}_1)
                                                     let r_1 = mul(r_0, x_0)
       \mathbf{x}_0 \leftarrow \phi(\texttt{start:x}, \mathbf{L}_1:\mathbf{x}_1)
                                                  let x_1 = sub(x_0, 1)
       if x_0 goto L_1 else ret r_0 in fac'(r_1, x_1)
                                                 in
  L_2:r_1 \leftarrow mul(r_0, x_0)
                                                 if x_0 fac"() else r_0
       x_1 \leftarrow sub(x_0, 1)
                                                 in
       qoto L<sub>1</sub>
                                                   fac'(x, 1)
                                             in
                                                 . . .
```

Formal definition presented in the paper.

WHAT HAVE WE GAINED?

- → A way of tricking hackers into writing functional programs
- → A way of thinking about functional programs as SSA programs
 - applying SSA algorithms to functional programs
- → A way of thinking about SSA programs as functional programs
 - applying formal techniques to SSA programs

So, let's have a look at a concrete algorithm...

SPARSE CONDITIONAL CONSTANT PROPAGATION

The original SSA algorithm (Wegman & Zadeck, 1991) rather informally presented...

192 . M. N. Wegman and F. K. Zadeck

```
1 ← 1
...
if i = 1
then j ← 1
alse j ← 2
Pig. 9. A conditional constant definition.
```

Many optimizing compilers repeatedly execute constant propagation and unreachable code elimination since each provides information that improves the other. CC solves this problem in an elegant way by combining the two optimizations. Additionally, the algorithm gets better results than are possible by repeated applications of the separate algorithms, as described in Section 5.1.

3.4 Sparse Conditional Constant

We wish to derive a version of CC that also improves running time, just as SSC was derived from SC to improve running time. In order to do this, we must utilize some of the special properties of the SSA graph. We call this algorithm Sparse Conditional Constant or SCC.

When the SSA graph was constructed, ϕ -functions were inserted at some join nodes. The meaning of a ϕ -function is that if control reaches the node in the program flow graph along its *i*th in-edge, the result of the ϕ -function is the value of its *i*th operand.

In the SSC algorithm, when the meet rule was applied to a ϕ -function, the meet operator was applied to all of the operands of the ϕ -function. In the SCC algorithm, the meet operator is applied only to those operands of the ϕ -function that correspond to the program flow graph edges marked executable. Those that are not executable effectively have the value of τ .

This algorithm uses two worklists: Flow WorkList is a worklist of program flow graph edges and SSA WorkList is a worklist of SSA edges. SCC works as follows:

 Initialize the FlowWorkList to contain the edges exiting the start node of the program. The SSAWorkList is initially empty.

Each program flow graph edge has an associated flag, the *Executable-Flag*, that controls the evaluation of ϕ -functions in the destination node of that edge. This flag is initially false for all edges. Each LatticeCell is initially τ .

- (2) Halt execution when both worklists become empty. Execution may proceed by proceeding items from either worklist.
- (3) If the item is a program flow graph edge from the FlowWorkList, then examine the ExecutableFlag of that edge. If the ExecutableFlag is true do nothing; otherwise:
 - (a) Mark the ExecutableFlag of the edge as true.

 - (c) If only one of the ExecutableFlags associated with the incoming program flow graph edges is true (i.e., if this is the first time this

ACM Transactions on Programming Languages and Systems, Vol. 13, No. 2, April 1991.

Constant Propagation With Conditional Branches + 193

node has been evaluated), then perform VisitExpression for the expression in this node.

- (d) If the node only contains one outgoing flow graph edge, add that edge to the FlowWorkList.
- (4) If the item is an SSA edge from the SSAWorkList and the destination of that edge is a φ-function, perform Visit-φ.
- (5) If the item is an SSA edge from the SSAWorkList and the destination of that edge is an expression, then examine ExecutableFlags for the program flow edges reaching that node. If any of them are true, perform VisitExpression. Otherwise do nothing.

The value of the LatticeCell associated with the output of a ϕ -function is defined to be the meet of all arguments whose corresponding in-edge has been marked executable. It is computed by Visit- ϕ . Visit- ϕ is called whenever the value of the LatticeCell associated with one of its operands is lowered or when the ExecutableFlag associated with one of the in-edges becomes true.

Visit- ϕ is defined as follows: The LatticeCells for each operand of the ϕ -function are defined on the basis of the ExecutableFlag for the corresponding program flow edge.

executable The LatticeCell has the same value as the LatticeCell at the definition end of the SSA edge.

not - executable The LatticeCell has the value 7.

VisitExpression is defined as follows: Evaluate the expression obtaining the values of the operands from the LatticeCells where they are defined and using the expression rules defined in Section 2.2. If this changes the value of the LatticeCell of the output of the expression, do the following:

- If the expression is part of an assignment node, add to the SSAWorkList all SSA edges starting at the definition for that node.
- (2) If the expression controls a conditional branch, some outgoing flow graph edges must be added to the FlowWorkList. If the LatticeCell has value ⊥, all exit edges must be added to the FlowWorkList. If the value is *x*, only the flow graph edge executed as the result of the branch is added to the FlowWorkList.⁶

3.4.1 Asymptotic Complexity. As in SSC, each SSA edge can only be examined twice. Nodes in the program flow graph are visited once for each of their in-edges. The asymptotic running complexity of this algorithm is the number of edges in the flow graph plus the number of SSA edges and should be linear in practice.

CC may be impractically slow and, consequently, was ignored for a long time. Many workers in code optimization had tried to derive practical sparse algorithms that achieved CC's results. However, they started from the sparse

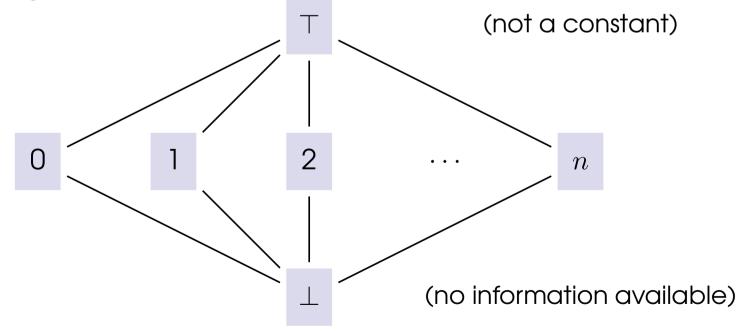
⁶The value cannot be T , since the earlier step in VisitExpression will have lowered the value. ACM Transactions on Programming Languages and Systems, Vol. 13, No. 2, April 1991.

A FRAGMENT OF SCC_{ANF}

 \varOmega $\mathcal{A}\llbracket v \rrbracket$ $= \langle \Gamma v, \Gamma, \Omega \rangle$ Г Г $\mathcal{A}\llbracket f(v_1,\ldots,v_n)\rrbracket$ Ω $| f \in \mathbf{Prim}$ $= \mathcal{E}_{Abs} \llbracket f (\Gamma v_1 , \ldots , \Gamma v_n) \rrbracket$ $= \langle \Gamma' f, \Gamma', \mathbf{if} \ changed \ \mathbf{then} \ \Omega \cup \{f\} \ \mathbf{else} \ \Omega \rangle$ | otherwise where f is defined as $f(x_1, \ldots, x_n) = e$ $= \Gamma \sqcap [f \mapsto \bot, x_1 \mapsto \Gamma v_1, \ldots, x_n \mapsto \Gamma v_n]$ Γ' $changed = \exists i. \Gamma x_i \sqsubset \Gamma v_i \qquad - \text{ indicates whether } \Gamma \text{ changed}$ \mathcal{A} [letrec f_1, \ldots, f_n in e] Γ Ω =let $\langle a, \Gamma', \Omega' \rangle = \mathcal{A}\llbracket e \rrbracket \Gamma \lbrace \rbrace$ $\langle \Gamma^{\prime\prime}, \Omega^{\prime\prime} \rangle = \mathcal{A}_{\text{fix}} \llbracket f_1, \dots, f_n \rrbracket \Gamma^{\prime} (\Omega \cup \Omega^{\prime})$ in if $\Gamma' = \Gamma''$ then $\langle a, \Gamma', \Omega'' \rangle$ else \mathcal{A} [letrec f_1, \ldots, f_n in e] $\Gamma'' \Omega''$ $\mathcal{A}_{\text{fix}}\llbracket fun_1, \ldots, fun_n \rrbracket \Gamma \Omega \quad | \not\equiv i.f_i \in \Omega = \langle \Gamma, \Omega \rangle$ | otherwise = \mathbf{let} $\begin{array}{l} \langle a, \Gamma', \Omega' \rangle = \mathcal{A}\llbracket e \rrbracket \Gamma \{ \} \\ \Gamma'' \qquad = \Gamma' \sqcap [f_i \mapsto a] \\ \Omega'' \qquad = \Omega \cup \Omega' \setminus \{ f_i \} \end{array}$ in $\mathcal{A}_{\text{fix}}[[fun_1, \ldots, fun_n]] \Gamma''(\text{if } \Gamma f_i \sqsubset \Gamma a \text{ then } \Omega'' \cup (\operatorname{Occ} f_i \cap \operatorname{Dom} \Gamma) \text{ else } \Omega'')$ where $(f_i(x_1,\ldots,x_m)=e) = fun_i$

AN OVERVIEW OF SCC_{ANF}

- → Denoted in T_EX -ised Haskell
- → Operates on programs in ANF
- → Split into "analysis" and "simplification" stages
- → Operates over the standard lattice N^T_⊥ for constant propagation:



SO, HOW DOES IT WORK?

- Analysis proceeds by abstract interpretation under an environment Γ mapping variables to lattice values
- \rightarrow Maintains a work list Ω of functions to visit by
 - inspecting call sites and
 - tracking free variables for changes to abstract variables
- → Functions added to the work list whenever any of its free variables is refined in the environment
- \rightarrow letrec's are processed recursively until the work list is emptied
- → The resulting abstract environment contains all information obtained about any constants
- Simplification phase replaces variables by constant values and eliminates redundant branches

letrec f(x) =

let x' = sub(x, x)

in if x' then f(x') else x

in f(7)

letrec f(x) =

let x' = sub(x, x)

in if x' then f(x') else x

in f(7)

$$\Gamma = \{ f \mapsto \bot, x \mapsto 7 \}, \Omega = \{ f \}$$

letrec f(x) =

$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{\}$$
let x' = sub(x, x)
in
if x' then
f(x')
else
x
in
f(7)

$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{f\}$$

letrec f(x) =

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let x' = sub(x, x)

$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 0\}, \Omega = \{\}$$
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f(x')
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$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 0\}, \Omega = \{\}$$
in
if x' then
f(x')
else
x

$$\Gamma = \{f \mapsto 7, x \mapsto 7, x' \mapsto 0\}, \Omega = \{f\}$$
in
f(7)

$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{f\}$$

letrec f(x) =

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f(7)

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letrec f(x) =

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$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 0\}, \Omega = \{\}$$

$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 0\}, \Omega = \{\}$$

$$\Gamma = \{f \mapsto T, x \mapsto 7, x' \mapsto 0\}, \Omega = \{\}$$
in
if x' then
f(x')
else
x

$$\Gamma = \{f \mapsto 7, x \mapsto 7, x' \mapsto 0\}, \Omega = \{f\}$$

$$\Gamma = \{f \mapsto 7, x \mapsto 7, x' \mapsto 0\}, \Omega = \{f\}$$

$$\Gamma = \{f \mapsto T, x \mapsto 7, x' \mapsto 0\}, \Omega = \{f\}$$

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letrec f(x) =

```
let x' = sub(x, 1)
```

in
 if x' then
 f(x')

else x

in

f(7)

letrec f(x) =
$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{\}$$
let x' = sub(x, 1)

in if x' then f(x')

else x in

letrec f(x) =

$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{\}$$
let x' = sub(x, 1)

$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 6\}, \Omega = \{\}$$
in
if x' then
f(x')
else
x

in

f(7)

letrec f(x) =

$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{\}$$
let x' = sub(x, 1)

$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 6\}, \Omega = \{\}$$
in
if x' then
f(x')

$$\Gamma = \{f \mapsto \bot, x \mapsto \top, x' \mapsto 6\}, \Omega = \{f\}$$
else
x
in
f(7)

letrec f(x) =

$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{\}$$

$$\Gamma = \{f \mapsto \bot, x \mapsto \top, x' \mapsto 6\}, \Omega = \{\}$$
let x' = sub(x, 1)

$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 6\}, \Omega = \{\}$$
in
if x' then
f(x')

$$\Gamma = \{f \mapsto \bot, x \mapsto \top, x' \mapsto 6\}, \Omega = \{f\}$$

in

f(7)

else

х

letrec f(x) =

$$\Gamma = \{f \mapsto \bot, x \mapsto 7\}, \Omega = \{\}$$

$$\Gamma = \{f \mapsto \bot, x \mapsto \top, x' \mapsto 6\}, \Omega = \{\}$$
let x' = sub(x, 1)

$$\Gamma = \{f \mapsto \bot, x \mapsto 7, x' \mapsto 6\}, \Omega = \{\}$$

$$\Gamma = \{f \mapsto \bot, x \mapsto \top, x' \mapsto T\}, \Omega = \{\}$$
in
if x' then
f(x')

$$\Gamma = \{f \mapsto \bot, x \mapsto \top, x' \mapsto 6\}, \Omega = \{f\}$$
else
x

in

f(7)

letrec f(x) = $\Gamma = \{ f \mapsto \bot, x \mapsto 7 \}, \Omega = \{ \}$ $\Gamma = \{ f \mapsto \bot, x \mapsto \top, x' \mapsto 6 \}, \Omega = \{ \}$ let x' = sub(x, 1) $\Gamma = \{ f \mapsto \bot, x \mapsto 7, x' \mapsto 6 \}, \Omega = \{ \}$ $\Gamma = \{ f \mapsto \bot, x \mapsto \top, x' \mapsto \top \}, \Omega = \{ \}$ in if x' then f(x') $\Gamma = \{ f \mapsto \bot, x \mapsto \top, x' \mapsto 6 \}, \Omega = \{ f \}$ $\Gamma = \{ f \mapsto \bot, x \mapsto \top, x' \mapsto \top \}, \Omega = \{ \}$ else Х $\Gamma = \{ f \mapsto \top, x \mapsto \top, x' \mapsto \top \}, \Omega = \{ f \}$ in f(7)

WORK COMPLEXITY

- ① Each variable updated at most three times (same as SCC_{SSA})
- ② Each function processed once for each change to its free variables

(in SCC_{SSA} , processed once for each incoming SSA edge)

- ③ Number of free variables corresponds directly to number of SSA edges
- ④ Therefore, SCC_{ANF} has the same work comlexity as SCC_{SSA} .

OBSERVATIONS

- ① SCC_{ANF} performs inter-procedural analysis transparently, although alias analysis and performance still a problem
- \tilde{O} Vanilla SCC_{ANF} cannot handle higher-order functions
- ③ The algorithm does not preserve non-termination
- ④ Optimistic algorithms are difficult to analyze:
 - environment invalid until fixpoint reached
 - very complex invariants

CONCLUSIONS

Benefits of ANF:

- → Simplifies the operational semantics, the environment and therefore static analysis and formal reasoning
- → Allows us to view imperative programs as encodings of functional programs
- Allows us to adopt SSA algorithms for compilation of functional programs
- → Integrates intra- and inter-procedural analysis
- → Straight forward extension to higher-order functions